

#CPA  
GAU 2815

**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

under 37 C.F.R. § 1.53(d)

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Assistant Commissioner of Patents  
Box CPA  
Washington, D.C. 20231



Atty. Docket No. 1701.73982

First Named Inventor (or application identifier): Shigeru ATSUMI

Title of Invention: Semiconductor Integrated Circuit Device and Flash EEPROM

#10/CPA  
9/30/99  
V. Varnal

This is a request for a: ☒ Continuation ☐ Divisional  
application under 37 C.F.R. § 1.53(d), (continued prosecution application (CPA)) of prior application No. 09/028,276, filed on February 24, 1998, entitled Semiconductor Integrated Circuit Device and Flash EEPROM.

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. § 371.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. § 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. § 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. § 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ Preliminary Amendment
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4)  
☐ Delete the following inventor(s) named in the prior nonprovisional application:  
☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new Power of Attorney or authorization of agent is enclosed
5. ☐ Information Disclosure Statement  
☐ Form 1449  
☐ A copy of each cited prior art reference

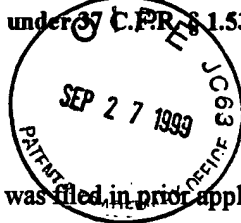
09/28/1999 SLUANG1 00000069 09028276

01 FC:131	760.00 OP
02 FC:102	156.00 OP
03 FC:103	396.00 OP

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# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

under 37 C.F.R. § 1.53(d)



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6. ☐ Small Entity Statement
- ☐ Small Entity Statement was filed in prior application, Small Entity Status is still proper and desired
- ☐ is attached
- ☐ is no longer claimed

7. Calculation of Fees including Preliminary Amendment:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$760.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	22	18.00	\$396.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	2	78.00	\$156.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	260.00	\$0.00
Petition for an extension of time for the minimum period needed for the timely filing of this CPA is requested, which is calculated as being: no extensions are necessary			\$0.00
Subtotal - Filing Fee Due			\$1,312.00
MULTIPLY BY			
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0		\$0.00
<b>GRAND TOTAL DUE</b>			<b>\$1,312.00</b>

8. ☒ PAYMENT is included in the amount of the GRAND TOTAL noted above by our enclosed check. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 19-0733 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.

9. All correspondence for this application should be directed to:

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

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10. Other: \_\_\_\_\_

Date: September 27, 1999

By: Joseph M. Potenza #35509  
for Joseph M. Potenza  
Reg. No. 28,175